

# An Infrared Study of Methanol Synthesis from CO<sub>2</sub> on Clean and Potassium-Promoted Cu/SiO<sub>2</sub>

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Infrared spectroscopy and temperature-programmed reaction (TPR) spectroscopy were used to study hydrogenation of CO<sub>2</sub> and CO<sub>2</sub>/CO mixtures over Cu/SiO<sub>2</sub> and potassium-promoted Cu/SiO<sub>2</sub> catalysts. Isothermal and temperature-programmed reactions were conducted at temperatures between 303 and 563 K and pressures of 0.1 and 0.72 MPa. The only products observed during the reaction of H<sub>2</sub> and CO<sub>2</sub> are CO, H<sub>2</sub>O, and CH<sub>3</sub>OH. At 0.1 MPa over Cu/SiO<sub>2</sub>, only 1% of the CO<sub>2</sub> reacts to form methanol, the balance forming CO via the reverse water–gas shift (RWGS) reaction. Increasing the total pressure to 0.72 MPa and adding CO to the feed (CO/CO<sub>2</sub> = 1) improve the methanol selectivity to 26%. Apparent activation energies measured at 480 K during H<sub>2</sub>/CO<sub>2</sub>/Ar reaction at 0.72 MPa are 21.1 kcal/mol for methanol synthesis and 15.2 kcal/mol for the RWGS reaction. Substitution of CO for Ar in the feed does not affect the apparent activation barrier for RWGS but lowers that for methanol synthesis to 16.9 kcal/mol. Infrared spectra reveal the following species on the Cu surface during CO<sub>2</sub> hydrogenation: bidentate formate, monodentate formate, H<sub>2</sub>O, CO, and two forms of carbonate. Small concentrations of methanol and methoxy species are also observed. Addition of CO to the H<sub>2</sub>/CO<sub>2</sub> feed increases both bidentate formate coverage and methanol synthesis TOF by 40%. Potassium promotion of Cu/SiO<sub>2</sub> accelerates the RWGS reaction but hinders methanol synthesis, reducing methanol selectivity to 1% at 0.72 MPa during the reaction of H<sub>2</sub> and CO<sub>2</sub>. Infrared observations show that potassium promotion stabilizes formate and carboxylate species. Based on the species observed during TPR-IR experiments and previous insights from methanol decomposition studies, a mechanism is proposed for CO<sub>2</sub> hydrogenation over Cu. The effects of CO addition to the feed and potassium promotion of Cu can be explained by the proposed scheme. © 1995 Academic Press, Inc.

## INTRODUCTION

The mechanism and kinetics of methanol synthesis over Cu from feed mixtures containing H<sub>2</sub>, CO, and CO<sub>2</sub> have been the subjects of extensive investigations (cf. Refs. (1–4)). A central issue has been whether CO or CO<sub>2</sub> serves as the primary carbon source for methanol and the extent to which CO and CO<sub>2</sub> are interconverted via the water–gas

shift (WGS) reaction. It has also been of interest to identify the reaction intermediates involved in methanol synthesis and the WGS reaction, and whether the reaction pathways for these two processes involve one or more reaction intermediates in common. Determination of the effects of promoters such as ZnO and alkali metal oxides on the mechanism and kinetics of methanol synthesis has been a subject of investigation as well.

Unpromoted Cu exhibits a very low activity for methanol synthesis from H<sub>2</sub>/CO (5, 6), whereas the activity for methanol synthesis from H<sub>2</sub>/CO<sub>2</sub> is approximately 10<sup>2</sup> fold higher for identical reaction conditions (7). Moreover, <sup>14</sup>C isotopic tracer studies show that even in mixtures containing CO and CO<sub>2</sub>, methanol is formed primarily from CO<sub>2</sub>, whereas CO reacts with the water released from the reaction 3 H<sub>2</sub> + CO<sub>2</sub> → CH<sub>3</sub>OH + H<sub>2</sub>O to form additional CO<sub>2</sub> via the reverse water–gas shift (RWGS) reaction (4, 8, 9). Infrared investigations of the interactions of H<sub>2</sub> and CO<sub>2</sub> show that formate species are readily formed at room temperature and above, and several investigators have suggested that the rate-limiting step in methanol synthesis over Cu is hydrogenation of formate species (10–17). Methanol formation is envisioned to proceed via CH<sub>2</sub>(O)<sub>2</sub>, CH<sub>2</sub>O, and CH<sub>3</sub>O intermediates, but none of these species have been observed under reaction conditions. Two mechanisms have been proposed for the RWGS reaction (18–33). The first involves CO<sub>2</sub> hydrogenation to form formate species, which then decompose to produce CO<sub>s</sub> and OH<sub>s</sub>. The second mechanism starts with the dissociation of CO<sub>2</sub> to form CO<sub>s</sub> and O<sub>s</sub>, with subsequent hydrogenation of O<sub>s</sub> to produce OH<sub>s</sub>. In both schemes, H<sub>2</sub>O is formed by hydrogenation of OH<sub>s</sub>.

Alkali promotion has been observed to greatly increase the activity of metallic Cu for methanol synthesis from H<sub>2</sub>/CO mixtures (34–38), the extent of activity enhancement increasing monotonically from Li to Cs (34). The alkali promoter is believed to increase the surface concentration of OH groups, which can then react with CO to give formate species (35), a critical intermediate for the forma-

tion of methanol. Alkali addition, however, does not increase the rate of methanol formation when  $\text{CO}_2$  is present in the feed mixture (37). It has been suggested that water produced by the RWGS reaction may poison the active sites produced by alkali promotion (38).

The present investigation was undertaken to gain further insights into the mechanism of methanol synthesis and its relationship to the mechanism of the RWGS reaction. Both  $\text{Cu}/\text{SiO}_2$  and K-promoted  $\text{Cu}/\text{SiO}_2$  were used as catalysts. *In situ* infrared spectroscopy was performed under temperature-programmed reaction conditions to determine the sequence in which surface intermediates are formed.  $\text{H}_2/\text{CO}$ ,  $\text{H}_2/\text{CO}_2$ , and  $\text{H}_2/\text{CO}_2/\text{CO}_2/\text{CO}$  reaction mixtures were used to identify the pathways by which CO and  $\text{CO}_2$  react, and the role of hydrogen in the rate-determining steps involved in methanol synthesis and the PWGS reaction was probed by substituting  $\text{D}_2$  for  $\text{H}_2$ .

## EXPERIMENTAL

Preparation of the 7.0 wt%  $\text{Cu}/\text{SiO}_2$  catalyst has been described elsewhere (39). Briefly, silica (Cab-O-Sil M5, 200  $\text{m}^2/\text{g}$ ) was ion-exchanged with  $\text{Cu}(\text{NO}_3)_2$  in aqueous solution, calcined, and reduced. The BET surface area of the  $\text{Cu}/\text{SiO}_2$  is 203  $\text{m}^2/\text{g}$  and the Cu surface area measured by CO chemisorption (40) is 18.2  $\text{m}^2/\text{g}$ , which corresponds to a Cu dispersion of 38%. Potassium-promoted  $\text{Cu}/\text{SiO}_2$  was prepared by impregnating calcined  $\text{Cu}/\text{SiO}_2$  with an aqueous solution of  $\text{KNO}_3$ . The K-promoted  $\text{Cu}/\text{SiO}_2$  is a dark, olive green color after drying and air calcination at 773 K for 5 h. A dark red color appears after reduction in  $\text{H}_2$  at 533 K for 3 h or longer. The reduced catalyst contained a quantity of potassium equivalent to 20 mol% of the Cu loading.

Infrared experiments were conducted on a 50 mg wafer of the catalyst placed inside a low dead-volume (0.4  $\text{cm}^3$ ) cell (41). Infrared spectra were recorded with a Digilab FTS-80 FTIR spectrometer. A resolution of 4  $\text{cm}^{-1}$  was used throughout the investigation, and 128 scans taken over a 50-s interval were averaged to achieve a satisfactory signal-to-noise ratio. After acquisition, selected regions of the spectra were deconvolved into components by using a least-squares regression curve fitting routine called GRAMS/386 (Galactic Industries Corp.). Reaction rate measurements were performed with 0.5 g of catalyst (30–60 mesh) contained in a quartz tubular reactor. Reactants were supplied to either the infrared cell or the quartz microreactor from a gas manifold. Ultrahigh purity (>99.999%) gases of Ar,  $\text{H}_2$ ,  $\text{D}_2$ , and CO and Coleman instrument-purity  $\text{CO}_2$  (>99.99%) were used; the  $\text{D}_2$  was 99.8 atom% D. A series of traps used for purification of the gases is described elsewhere (39). The reaction products were analyzed by an EAI 250 quadrupole mass

spectrometer connected to a personal computer for data acquisition.

Temperature-programmed reaction (TPR) experiments were performed with both the infrared cell and the microreactor. In both cases, the flowrate of reactants was 25  $\text{cm}^3/\text{min}$  and the rate of temperature increase was 1 K/min. For TPR-IR experiments, spectra were recorded at 5 min intervals and referenced to spectra obtained in Ar over the catalyst at the same temperature.

The turnover frequency (TOF) for methanol formation was based on the intensity of the mass spectrometer signal at  $m/e = 31$ , the principal feature in the mass spectrum of methanol. The TOF for the RWGS reaction was based on the difference between the measured rate of water formation ( $m/e = 18$ ) and the rate of methanol formation. Determination of the rate of the RWGS reaction from measurements of the rate of CO formation could not be achieved because of interference in the  $m/e = 28$  signal due to cracking of  $\text{CO}_2$  to CO by the electron beam in the mass spectrometer.

## RESULTS

### *Unpromoted Cu/SiO<sub>2</sub>*

Figure 1 shows TPR-IR spectra taken after the exposure of reduced  $\text{Cu}/\text{SiO}_2$  to a  $\text{H}_2/\text{CO}_2/\text{Ar}$  mixture (3.3/1/1) for 30 min at 0.72 MPa and 303 K. Individual peaks associated with adsorbed species are identified in Fig. 2 and in the panel at the right-hand side of Fig. 1. For regions with overlapping bands, the positions of individual components were determined following deconvolution. The positions of all bands appearing in Figs. 1 and 2 are listed in Table 1. The first column of this table lists the assigned species. The second column identifies the composition of the feed gas. The positions of the infrared bands observed during methanol synthesis are listed in the third column. Assignments of the peaks were made by comparison to model systems and to results obtained during methanol decomposition on prerduced and preoxidized  $\text{Cu}/\text{SiO}_2$  (58). The positions of bands observed during methanol decomposition over reduced and oxidized  $\text{Cu}/\text{SiO}_2$  are listed in the fourth column of Table 1, and the fifth column lists the position for equivalent bands in model systems.

The broad band centered at 3500  $\text{cm}^{-1}$  in Fig. 1 is characteristic of  $\text{H}_2\text{O}$  adsorbed on  $\text{SiO}_2$ . If  $\text{H}_2\text{O}$  is sufficiently weak in its surface interaction or is completely in the vapor phase, it rotates, resulting in the roto-vibrational fine structure at low frequency. Methanol is detected as a shoulder at 2960  $\text{cm}^{-1}$  which grows into a peak above 540 K. The shoulder at 2916  $\text{cm}^{-1}$  is ascribed to methoxy groups on the basis of the close agreement of the position of this band with that observed at 2920  $\text{cm}^{-1}$  during methanol decomposition over preoxidized  $\text{Cu}/\text{SiO}_2$  (58). Other

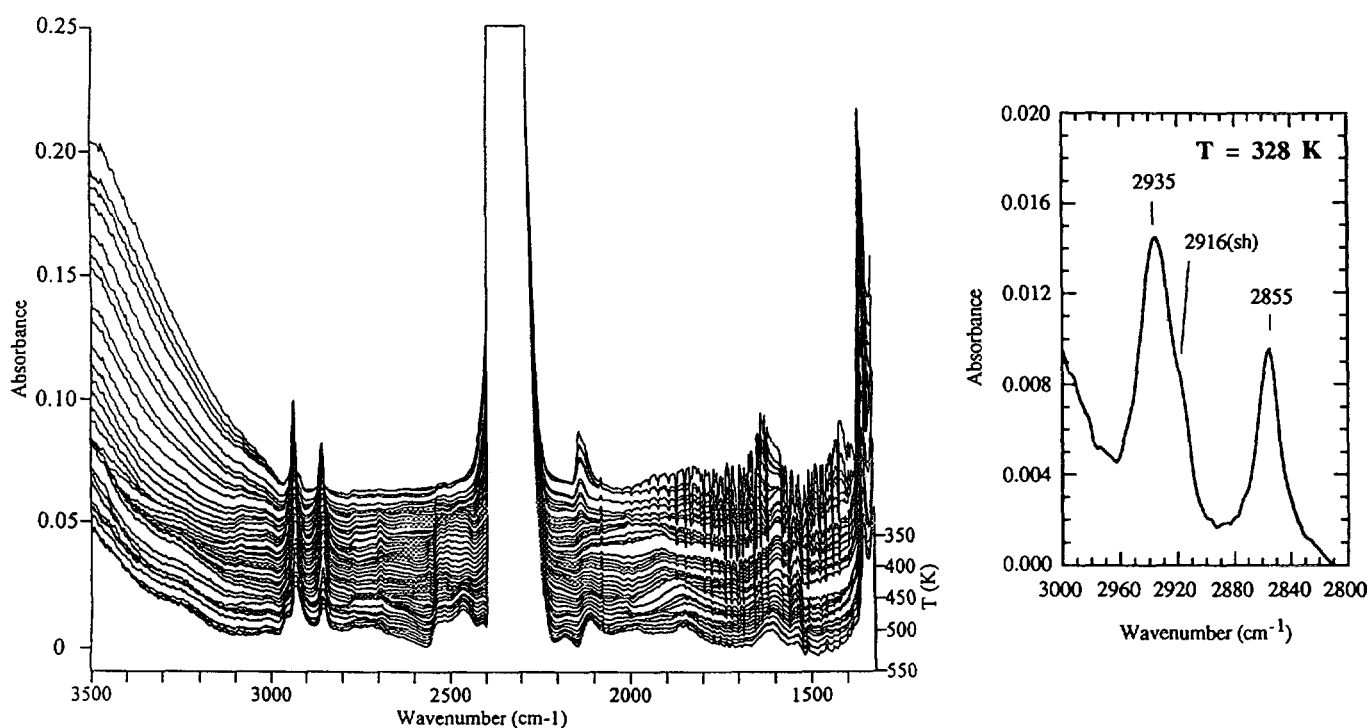


FIG. 1. Infrared spectra obtained during temperature-programmed reaction (TPR) of  $\text{H}_2 + \text{CO}_2$  over 0.055 g of reduced 7 wt% Cu/SiO<sub>2</sub> at 0.72 MPa. Cu/SiO<sub>2</sub> is first exposed to an 11 cm<sup>3</sup>/min flow of  $\text{H}_2/\text{CO}_2/\text{Ar}$  (3.3/1/1) at 303 K for 30 min, and then the temperature is increased at 1 K/min from 303 K to 563 K as the flow continues. Spectra are recorded at 5 min intervals, ending with the front spectrum at 563 K, and are offset from zero absorbance. The spectrum of reduced Cu/SiO<sub>2</sub> recorded at the same temperature in Ar has been subtracted from each spectrum. A region of the spectrum at 328 K is expanded in the panel on the right to show the shoulder at 2916 cm<sup>-1</sup>.

$\nu_{\text{CH}}$  modes for methoxy were too weak to be detected. Both monodentate (m-HCOO<sub>s</sub>) and bidentate formate (b-HCOO<sub>s</sub>) groups are observed. The dominant form is b-HCOO<sub>s</sub>, which is present at sufficiently high coverage to permit detection of C-H stretching vibrations at 2851 cm<sup>-1</sup> and 2927–2936 cm<sup>-1</sup>, and an O-C-O bending vibra-

tion at 1351 cm<sup>-1</sup>. The band at 2696 cm<sup>-1</sup> is assigned to an overtone of the O-C-O vibration. Monodentate HCOO<sub>s</sub> species have characteristic  $\nu_{\text{OCO}}$  bands near 1364 cm<sup>-1</sup> and 1595 cm<sup>-1</sup>. Similar features have been observed during methanol decomposition over preoxidized Cu/SiO<sub>2</sub> (58). A small peak is observed at 2765–2770 cm<sup>-1</sup>, the

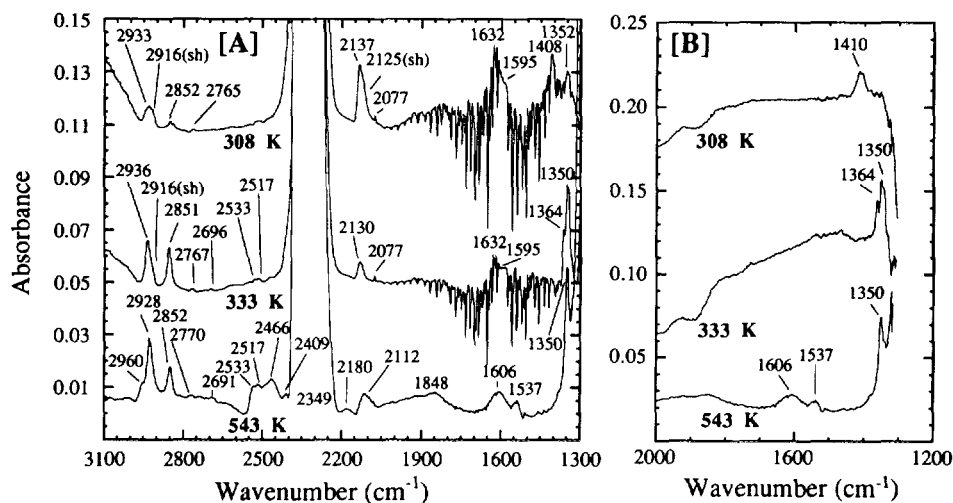


FIG. 2. [A] Selected spectra taken from Fig. 1 to illustrate band positions over Cu/SiO<sub>2</sub>. [B] Difference spectra resulting from subtraction of spectra obtained during a TPR-IR experiment over pure SiO<sub>2</sub>, from the spectra taken over Cu/SiO<sub>2</sub> shown in Fig. 2A. Only bands due to species associated with Cu remain.

TABLE 1  
Infrared Peak Assignments of Cu-Bound Species Observed during Methanol Synthesis on Cu/CuO<sub>2</sub> at 0.72 MPa

Species/mode	Feed gas (1 = H <sub>2</sub> /CO <sub>2</sub> /Ar) (2 = H <sub>2</sub> /CO <sub>2</sub> /CO)	Observed frequency (cm <sup>-1</sup> )	Methanol decomp. (red. Cu/ oxid. Cu)	Model system
CH <sub>3</sub> OH/ $\nu_{CH}$	1, 2	2960	2951/2953	2955 for CH <sub>3</sub> OH on Cu/SiO <sub>2</sub> (42)
CH <sub>3</sub> O/ $\nu_{CH}$	1	2916(sh)	2888/2920	2920 for CH <sub>3</sub> O in a Re complex (43); 2910 for CH <sub>3</sub> O on Cu(111) (44)
m-HCOO <sup>a</sup> / $\nu_{OCO}$	1	1595	1564/1592	1585 for m-HCOO in a Re complex (45)
m-HCOO/ $\nu_{OCO}$	1	1364	1366/1366	1371 for m-HCOO on oxidized Cu film (46)
b-HCOO <sup>b</sup> / $\nu_{OCO} + \delta_{CH}$	1, 2	2927–2936	2935/—	2950 for b-HCOO on Cu(110) (47, 48)
b-HCOO/ $\nu_{CH}$	1, 2	2851	2851/—	2840 for b-HCOO on Cu(100) (49)
b-HCOO/ $\nu_{OCO} \times 2$	1, 2	2696	2697/—	2697 for HCOO on Cu <sup>0</sup> /SiO <sub>2</sub> (50)
b-CHOO/ $\nu_{OCO}$	1, 2	1351	1351/—	1358 for b-HCOO on Cu(110) (44)
<sup>12</sup> CO/ $\nu_{CO}$	1	2127–2137	—/—	2130 for CO on Cu <sup>2+</sup> /SiO <sub>2</sub> (51)
<sup>12</sup> CO/ $\nu_{CO}$	1	2077	—/—	2079 for CO on Cu(100) (52)
<sup>13</sup> CO/ $\nu_{CO}$	2	1938–1960	—/—	1988 for <sup>13</sup> CO <sub>g</sub> (53)
b-CO <sub>3</sub> <sup>2-</sup> / $\nu_{COOO}$	1, 2	1537	—/—	1530 for CO <sub>3</sub> <sup>2-</sup> on Cu/ZnO/Al <sub>2</sub> O <sub>3</sub> (14); 1550 for b-CO <sub>3</sub> <sup>2-</sup> on ZrO <sub>2</sub> (54)
b-CO <sub>3</sub> <sup>2-</sup> / $\nu_{COOO}$	1, 2	1597–1606	—/—	1600, 1218 for b-CO <sub>3</sub> <sup>2-</sup> on ZnO (55)
CO <sub>3</sub> <sup>2-</sup> (symm.)/ $\nu_{COOO}$	1	1410	—/—	1410–1417 for CO <sub>3</sub> <sup>2-</sup> on Cu/SiO <sub>2</sub> (56)
CO <sub>3</sub> <sup>2-</sup> (symm.)/ $\nu_{COOO}$	2	1425	—/—	1410–1417 for CO <sub>3</sub> <sup>2-</sup> on Cu/SiO <sub>2</sub> (56)
H <sub>2</sub> O (phys.)/ $\delta_{CH}$	1, 2	1632 <sup>c</sup>	—/1626	1630 for physisorbed H <sub>2</sub> O on Cu <sub>2</sub> O/SiO <sub>2</sub> (50)
H <sub>2</sub> O on SiO <sub>2</sub> / $\nu_{OH}$	1, 2	3340–3500	—/—	2750–3500 for H <sub>2</sub> O on SiO <sub>2</sub> (57)

<sup>a</sup> Monodentate formate.

<sup>b</sup> Bidentate formate.

<sup>c</sup> Near noise level.

intensity of which correlates with those for the bands of b-HCOO<sub>s</sub>. While the position of this feature is close to that for the band at 2763 cm<sup>-1</sup> reported for methylenebis (oxy) on oxidized Cu (58), the companion peak for this species at 1405 cm<sup>-1</sup> was not observed. Furthermore, the stability of the band at 2765–2770 cm<sup>-1</sup> at elevated temperatures suggests that it is associated with formate species (perhaps a  $\nu_{OCO}/\nu_{CH}$  combination band). Adsorbed CO is evidenced by bands at 2077, 2125(sh), and 2127–2137 cm<sup>-1</sup>. The band at 2077 cm<sup>-1</sup> is resolved only at low CO surface coverage and is attributed to CO adsorbed on a low index plane of reduced Cu (52). The shoulder at 2125 cm<sup>-1</sup> is characteristic of CO on Cu<sup>+</sup> and the band at

2127–2137 cm<sup>-1</sup> is characteristic of CO adsorbed on a more fully oxidized Cu site (51). At elevated temperatures a doublet appears, centered at 2143–2147 cm<sup>-1</sup> with envelopes characteristic of gaseous CO at 2112 and 2180 cm<sup>-1</sup>. The intense, saturated band at 2349 cm<sup>-1</sup> is due to gaseous CO<sub>2</sub>. A band at 1537 cm<sup>-1</sup> assigned to bidentate carbonate (b-CO<sub>3</sub><sup>2-</sup>) becomes discernible above the noise at temperatures greater than 365 K. At elevated temperatures, a second band for b-CO<sub>3</sub><sup>2-</sup> also becomes observable at 1606 cm<sup>-1</sup>. At room temperature, a second carbonate species can be observed at 1409–1410 cm<sup>-1</sup>, as shown in Fig. 2B. The feature appears at 1414 cm<sup>-1</sup> in the absence of hydrogen, during exposure of reduced Cu/SiO<sub>2</sub> to CO<sub>2</sub>

at room temperature. Millar *et al.* (56) report a similar band between 1410 and 1417  $\text{cm}^{-1}$  which they ascribe to a carbonate species symmetrically coordinated to the Cu surface through the oxygen atoms in a tridentate configuration.

These are several features in Figs. 1 and 2A that are not readily assigned. The bands in the region 2400–2550  $\text{cm}^{-1}$  may be overtones of the  $\text{SiO}_2$  hydroxyl bending mode. The broad feature at 1848  $\text{cm}^{-1}$  is close to a vibrational mode for Si–O lattice stretching at 1825  $\text{cm}^{-1}$  (57) but is most likely an artifact due to imperfect subtraction of the reference spectrum.

Deconvolution of the spectra in Fig. 1 enabled the determination of the band intensity for each peak. A plot of peak absorbance as a function of temperature for each species is presented in Fig. 3. Methanol adsorbed on the Cu particles could not be detected below 500 K, and the maximum coverage of methanol is only  $6 \times 10^{-5}$  ML. This value is determined from the maximum intensity of the peak at 2959  $\text{cm}^{-1}$ , using an extinction coefficient of  $1.6 \times 10^{-17}$   $\text{cm}^2/\text{molecule}$  (58) and is a factor of 18 lower than that observed upon exposure of  $\text{Cu}/\text{SiO}_2$  to 1%

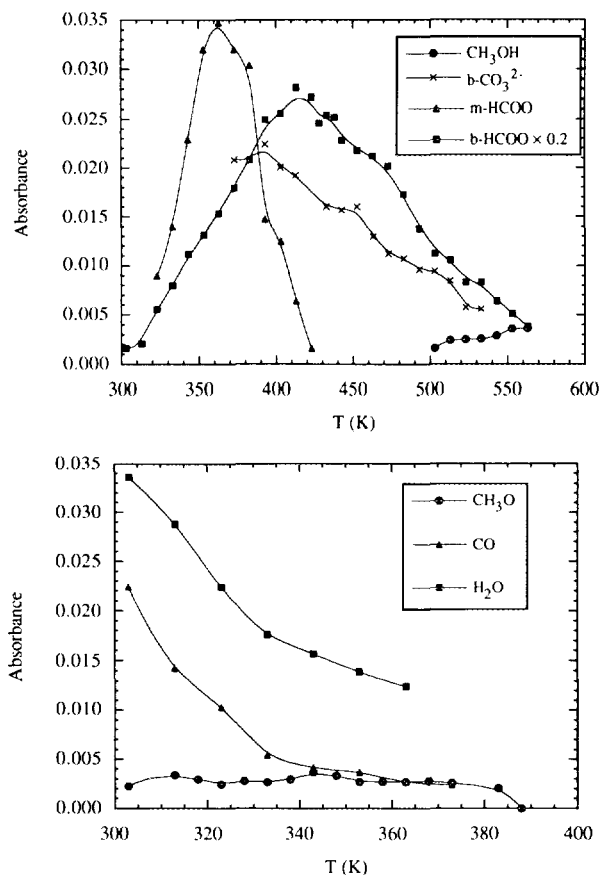


FIG. 3. Temperature dependence of the intensity of the bands for  $\text{CH}_3\text{OH}$  (2960  $\text{cm}^{-1}$ ),  $\text{b-CO}_3^{2-}$  (1537  $\text{cm}^{-1}$ ),  $\text{m-HCOO}$  (1364  $\text{cm}^{-1}$ ),  $\text{b-HCOO} \times 0.2$  (1351  $\text{cm}^{-1}$ ),  $\text{CH}_3\text{O}$  (2921  $\text{cm}^{-1}$ ),  $\text{CO}$  (2127  $\text{cm}^{-1}$ ), and  $\text{H}_2\text{O}$  (1632  $\text{cm}^{-1}$ ) observed during the TPR experiment shown in Fig. 1.

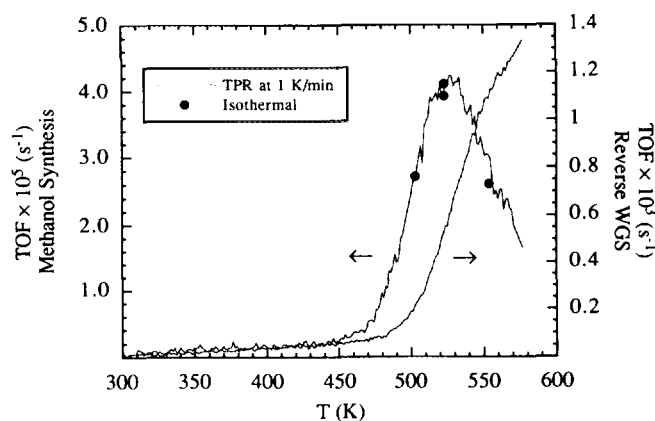


FIG. 4. TOF's for methanol synthesis and the reverse water-gas shift (RWGS) reaction as a function of temperature. Additional measurements of the methanol synthesis reaction rate were made under isothermal conditions and are shown for comparison. Reaction conditions:  $\text{H}_2/\text{CO}_2/\text{Ar}$  (3.3/1/1); 11  $\text{cm}^3/\text{min}$ ; 0.72 MPa; steady-state at 303 K achieved, followed by 1 K/min temperature increase; and 0.50 g of  $\text{Cu}/\text{SiO}_2$ .

$\text{CH}_3\text{OH}$  at room temperature and subsequent purging of the catalyst in Ar for 30 min (58). While both monodentate and bidentate formate species are observed near room temperature, only the bidentate form persists above 420 K. The bidentate carbonate band (1537  $\text{cm}^{-1}$ ) rises above the noise level as the temperature increases, then diminishes above 400 K. The exceptionally good signal-to-noise ratio in the region of 2500–3000  $\text{cm}^{-1}$  makes it possible to detect the methoxy species.

The results of gas-phase analysis performed during TPR of  $\text{H}_2/\text{CO}_2/\text{Ar}$  (3.3/1/1) over  $\text{Cu}/\text{SiO}_2$  at 0.72 MPa are presented in Fig. 4. These studies were carried out with 0.5 g of catalyst so that conversions of up to 10% could be achieved, rather than only 1%, the maximum conversion attainable with 0.05 g of catalyst in the infrared cell. The turnover frequencies for methanol synthesis and the RWGS reactions are plotted versus temperature. To determine how closely the steady-state TOF is approached during a 1 K/min ramp, isothermal measurements of the methanol synthesis rate are also displayed in Fig. 4. As can be seen, the TOF's measured under TPR and steady-state conditions are identical. Below 450 K, little product is formed. Above this temperature, methanol forms but the TOF for the RWGS reaction predominates, exceeding that for methanol synthesis by a factor of about 50. The rate of methanol synthesis reaches a maximum at 528 K and then decreases steadily, whereas the rate of the RWGS reaction continues to increase with increasing temperature.

The rates of methanol formation from CO and  $\text{CO}_2$  were compared at 525 K. The TOF is less than  $2 \times 10^{-6}$   $\text{s}^{-1}$  for a 3/1/1  $\text{H}_2/\text{CO}/\text{Ar}$  mixture at 0.72 MPa total pressure, whereas the TOF for methanol formation is  $4.2 \times 10^{-5}$   $\text{s}^{-1}$  for a 3/1/1  $\text{H}_2/\text{CO}_2/\text{Ar}$  mixture at the same total pressure.

TABLE 2  
Apparent Activation Energies for Methanol Synthesis and RWGS Reactions

Catalyst	Reactants (H <sub>2</sub> /CO <sub>2</sub> /CO/inert)	P (MPa)	T (K)	Methanol syn. E <sub>a,app</sub> (kcal/mol)	RWGS E <sub>a,app</sub> (kcal/mol)	Ref.
H <sub>2</sub> /CO <sub>2</sub> feeds						
Cu/SiO <sub>2</sub>	3.3/1/0/1	0.72	480	21.1	15.2	This work
Unsupp. Cu	1.3/1/0/9	0.1	425–500	—	19.1–27.9	(21)
Unsupp. Cu	12/1/0/0	0.1	450–510	24	26	(10)
Cu(100)	1/1/0/0	0.2	483–563	16.5	—	(5)
Cu(110)	Wide range	≤0.27	573–723	—	18	(22)
H <sub>2</sub> /CO <sub>2</sub> /CO feeds						
Cu/SiO <sub>2</sub>	3.3/1/1/0	0.72	480	16.9	15.1	This work
Cu(100)	12/1/2/0	1.0	525	17.5	—	(59)
Alkali catalysts						
Cu/K <sup>+</sup> /SiO <sub>2</sub>	3.3/1/0/1	0.72	510	12.0	9.9	This work
Cu/K <sup>+</sup> /SiO <sub>2</sub>	3.3/1/1/0	0.72	530	10.0	9.6	This work
K <sup>+</sup> /SiO <sub>2</sub>	3.3/1/1/0	0.72	530	—	5.2	This work

By plotting the rate data from Fig. 4 as  $\ln(\text{TOF})$  versus  $1/T$ , an apparent activation energy could be determined from the slope. The slope was measured at 480 K, where the rates of both methanol synthesis and RWGS start to increase rapidly but before the conversion significantly alters the gas phase composition. Table 2 lists the apparent activation energies together with values reported in the literature. The activation energy for methanol synthesis is 21.1 kcal/mol for methanol synthesis and that for the RWGS reaction is 15.2 kcal/mol. The activation energy for methanol synthesis lies in the range reported in the literature for Cu(100) and polycrystalline Cu, whereas the activation energy measured for the RWGS reaction is closest to that reported for Cu(110).

A comparison of TOFs measured with D<sub>2</sub>/CO<sub>2</sub> and H<sub>2</sub>/CO<sub>2</sub> feeds is presented in Table 3. Both methanol synthesis and the RWGS reaction proceed more slowly with D<sub>2</sub> than with H<sub>2</sub>. The RWGS reaction is 70% slower and the methanol synthesis reaction is 30% slower in D<sub>2</sub>/CO<sub>2</sub> than in H<sub>2</sub>/CO<sub>2</sub>.

TPR-IR experiments were conducted as well at 0.1 MPa. The variations in the band absorbances for CO, CO<sub>3</sub><sup>2-</sup>, and b-HCOO are presented in Fig. 5. The intensity of the b-HCOO<sub>s</sub> band is less than 40% of that observed at 0.72 MPa (compare with Fig. 3). Bidentate carbonate, CO<sub>3</sub>, and H<sub>2</sub>O<sub>s</sub> concentrations are highest at room temperature and decay rapidly as the temperature increases, even before the b-HCOO<sub>s</sub> starts to accumulate on the surface. No evidence was observed for CH<sub>3</sub>OH<sub>s</sub>, CH<sub>3</sub>O<sub>s</sub>, or m-HCOO<sub>s</sub> at 0.1 MPa.

The effect of total pressure on the methanol selectivity of Cu/SiO<sub>2</sub> during CO<sub>2</sub> hydrogenation is presented in Fig. 6. The maximum selectivity observed at 0.72 MPa is 0.12 at 500 K. Above this temperature, the rate of the RWGS reaction increases more rapidly than the rate of methanol

synthesis, causing a loss in selectivity. Eventually, the selectivity approaches zero as the rate of methanol synthesis decreases above 525 K. Reduction of the total pressure to 0.10 MPa drastically reduces methanol selectivity. A maximum selectivity of 1% was observed at 530 K. The TOFs at 0.10 MPa for the experiment shown in Fig. 6(b) were  $6.0 \times 10^{-6} \text{ s}^{-1}$  for methanol synthesis and  $4 \times 10^{-3} \text{ s}^{-1}$  for RWGS at 525 K.

TPR-IR experiments were conducted with an H<sub>2</sub>/CO<sub>2</sub>/CO feed in which the partial pressures of H<sub>2</sub> and CO<sub>2</sub> were the same as in the TPR-IR experiments conducted with an H<sub>2</sub>/CO<sub>2</sub>/Ar feed. Figure 7 shows the spectra obtained when CO<sub>2</sub> is present in the feed. The very intense band centered at 2350 cm<sup>-1</sup> is due to gas-phase CO<sub>2</sub> and the doublet centered at 2143 cm<sup>-1</sup> is due to gas-phase CO. Two spectra are extracted from Fig. 7 and displayed again in Fig. 8 in order to clearly identify the locations of the remaining bands. The bands identified in Fig. 8 are listed in Table 1, together with the corresponding band assignments. Several bands noted in Fig. 8 at 305 K are intentionally omitted from Table 1, 3003 and 1464 cm<sup>-1</sup> (CH<sub>3</sub>OH on SiO<sub>2</sub> (58)) and 2898, 2880, and 2835 cm<sup>-1</sup> (CH<sub>3</sub>O on Cu). All of these features are thought to be due to small amounts of methanol which transferred upstream to the catalyst near room temperature. The small bands

TABLE 3

TOFs Measured at 500 K over 0.5 g of Reduced Cu/SiO<sub>2</sub> in 0.10 MPa of (a) H<sub>2</sub>/CO<sub>2</sub> (3.0/1.0) and (b) D<sub>2</sub>/CO<sub>2</sub> (3.0/1.0) at a Flow Rate of 11 cm<sup>3</sup>/min

Feed	TOF (s <sup>-1</sup> ) CH <sub>3</sub> OH Synthesis	TOF (s <sup>-1</sup> ) RWGS
H <sub>2</sub> /CO <sub>2</sub>	$9.2 \times 10^{-7}$	$3.1 \times 10^{-3}$
D <sub>2</sub> /CO <sub>2</sub>	$6.0 \times 10^{-7}$	$8.8 \times 10^{-4}$

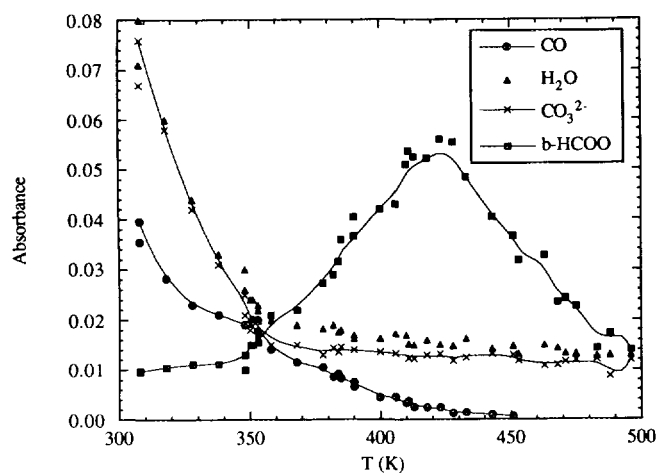


FIG. 5. Temperature dependence of the intensity of the bands for symmetric  $\text{CO}_3^{2-}$  ( $1420\text{ cm}^{-1}$ ), b-HCOO ( $1350\text{ cm}^{-1}$ ), CO ( $2130\text{ cm}^{-1}$ ), and  $\text{H}_2\text{O}$  ( $1616\text{ cm}^{-1}$ ) observed during the TPR of  $\text{H}_2/\text{CO}_2$  (3.0/1) at 0.10 MPa over reduced  $\text{Cu}/\text{SiO}_2$ .  $\text{Cu}/\text{SiO}_2$  is first exposed to  $\text{H}_2/\text{CO}_2$  (3.0/1) at 303 K for 30 min, and then the temperature is increased at 1 K/min from 303 K to 520 K as the flow continues.

attributed to  $\text{CH}_3\text{OH}$  adsorbed on  $\text{SiO}_2$  and  $\text{Cu}$  rapidly disappear, once the catalyst is heated even slightly above room temperature. Between 340–500 K, no surface methanol is detectable, but appearance of the  $2960\text{ cm}^{-1}$  band above 500 K is indicative of methanol formed by reaction.

The manifold of very narrow lines in the region of  $1400\text{--}1800\text{ cm}^{-1}$  seen in Fig. 7 is identical to that appearing in Fig. 1 and is due to the roto-vibrational spectrum of

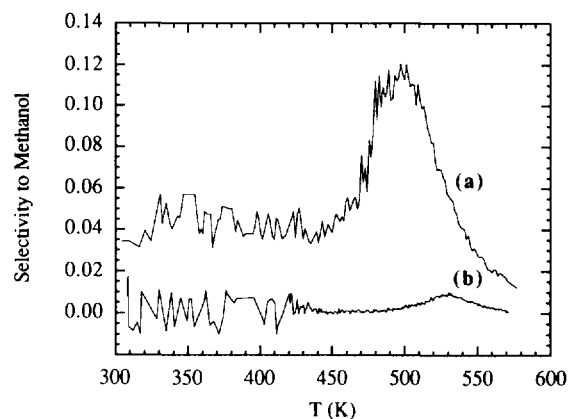


FIG. 6. Selectivity of  $\text{CO}_2$  to  $\text{CH}_3\text{OH}$  as a function of temperature during a TPR experiment in a feed of  $\text{H}_2/\text{CO}_2/\text{Ar}$  at (a) 0.72 MPa and (b) 0.10 MPa.

$\text{H}_2\text{O}$ . The presence of these features makes it impossible to observe bands for species such as  $\text{CH}_2\text{O}_3$ , which exhibit bands at  $1711$  and  $1726\text{ cm}^{-1}$  (58). As the temperature increases, the band at  $3340\text{ cm}^{-1}$  due to  $\text{H}_2\text{O}$  adsorbed on  $\text{SiO}_2$  diminishes along with the intensity of the band at  $1632\text{ cm}^{-1}$  due to the bending mode of  $\text{H}_2\text{O}$  physisorbed on  $\text{Cu}$ . Bands for b- $\text{CO}_3^{2-}$  appear in Fig. 8 at  $1537$  and  $1597\text{ cm}^{-1}$ . The only feature not readily assigned is the maximum at  $1702\text{ cm}^{-1}$ , which appears only at high temperature, and is likely due to imperfect subtraction of reference spectra.

Figure 9 shows the variation in intensity with tempera-

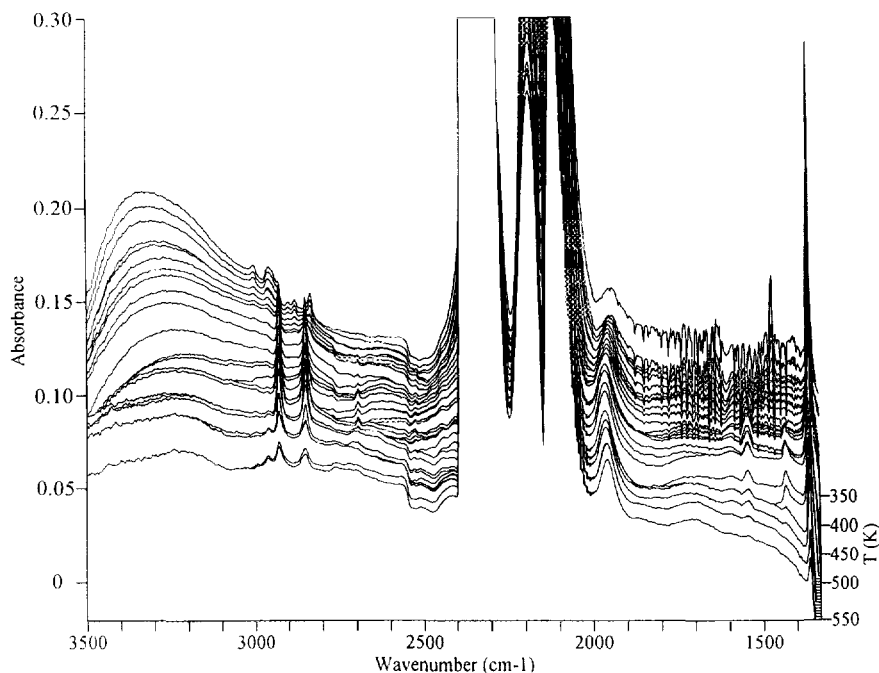


FIG. 7. Infrared spectra obtained during TPR of  $\text{H}_2/\text{CO}_2/\text{CO}$  (3.3/1/1) over reduced  $\text{Cu}/\text{SiO}_2$  at 0.72 MPa. All other conditions are the same as those listed in the caption for Fig. 1.

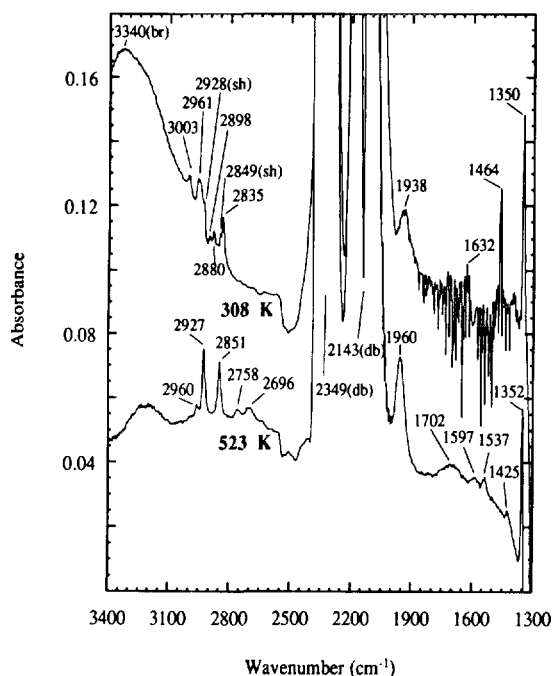


FIG. 8. Infrared spectra taken from the TPR-IR experiment shown in Fig. 7 selected to illustrate band positions.

ture of the major surface species observed in Fig. 7. The principal intermediate observed is  $\text{b-HCOO}_s$ . The maximum in  $\text{b-HCOO}_s$  coverage at 400 K is 40% higher than that observed in the absence of CO (see Fig. 3). Adsorbed  $\text{CH}_3\text{OH}$  is detected in increasing concentration above 500 K. The symmetric carbonate species ( $1425\text{ cm}^{-1}$ ) is observed at temperatures above 413 K and reaches a maximum in intensity at 483 K. Below 365 K, it was

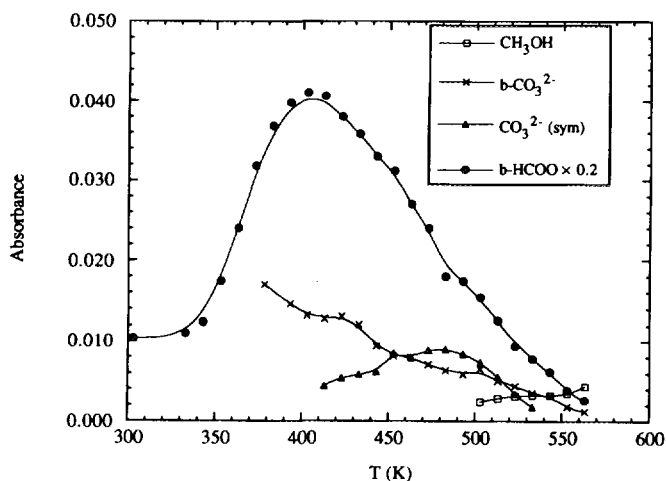


FIG. 9. Temperature dependence of the intensity of the bands for Cu-bound  $\text{CH}_3\text{OH}$  ( $2959\text{ cm}^{-1}$ ),  $\text{b-CO}_3^{2-}$  ( $1538\text{ cm}^{-1}$ ), symmetric  $\text{CO}_3^{2-}$  ( $1425\text{ cm}^{-1}$ ), and  $\text{b-HCOO} \times 0.2$  ( $1352\text{ cm}^{-1}$ ) observed during the TPR experiment shown in Fig. 7.

difficult to distinguish the band  $1537\text{ cm}^{-1}$ , due to  $\text{b-CO}_3^{2-}$ , from the noise. However, this band became distinct at higher temperatures and appeared to decay slowly throughout the TPR experiment. During reaction of  $\text{H}_2/\text{CO}/\text{Ar}$  (3.3/1/1) at 0.72 MPa, the Cu surface was free of reaction intermediates and no methanol was detected in the gas phase. However, some adventitious methanol was observed near room temperature.

The TOFs for methanol synthesis and the RWGS reaction are shown in Fig. 10 for reactions carried out in a feed of  $\text{H}_2/\text{CO}_2/\text{CO}$ . Also shown for comparison are the TOFs for the same reaction carried out in a feed of  $\text{H}_2/\text{CO}_2/\text{Ar}$ . The presence of CO in the feed significantly retards the RWGS reaction and enhances the maximum rate of methanol synthesis. Both of these effects can be ascribed to the reaction of CO with  $\text{H}_2\text{O}$  produced via either the synthesis of  $\text{CH}_3\text{OH}$  from  $\text{CO}_2$  or the RWGS reaction.

The apparent activation energies for methanol synthesis and the RWGS reaction carried out with a feed of  $\text{H}_2/$

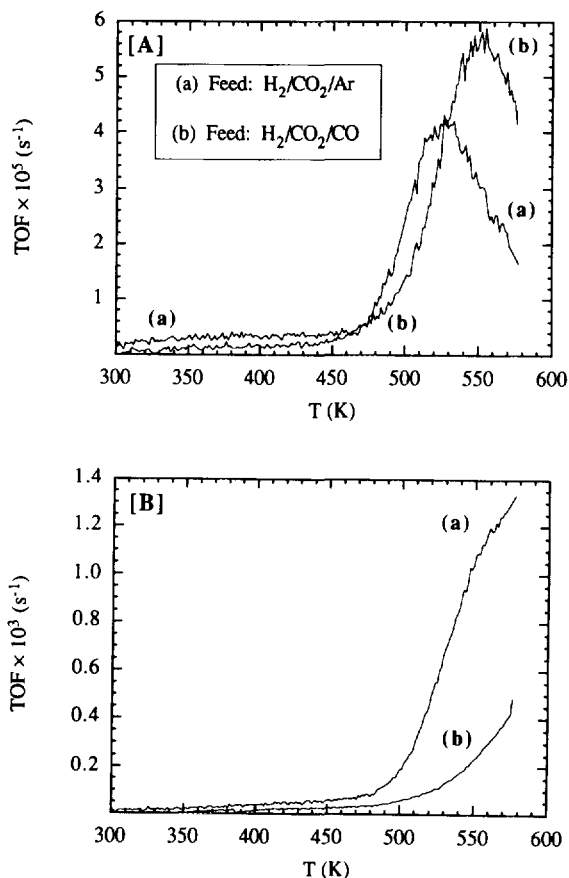


FIG. 10. TOF's for [A] methanol synthesis and [B] the RWGS reaction as a function of temperature. Reaction conditions: (a)  $\text{H}_2/\text{CO}_2/\text{Ar}$  (3.3/1/1) and (b)  $\text{H}_2/\text{CO}_2/\text{CO}$  (3.3/1/1);  $11\text{ cm}^3/\text{min}$ ; 0.72 MPa; steady-state achieved at 303 K, followed by 1 K/min temperature increase; and 0.50 g of  $\text{Cu}/\text{SiO}_2$ .



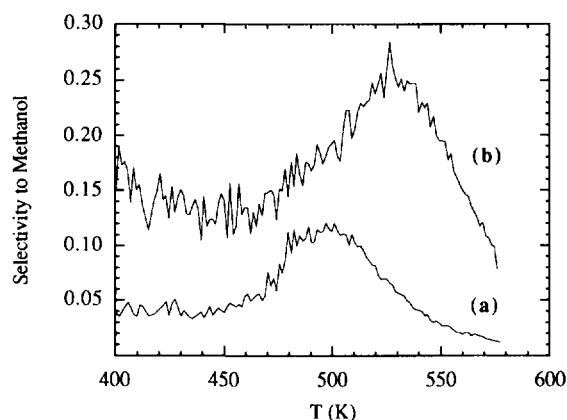


FIG. 11. Selectivity of  $\text{CO}_2$  to  $\text{CH}_3\text{OH}$  as a function of temperature during TPR experiments in feeds of (a)  $\text{H}_2/\text{CO}_2/\text{Ar}$  (3.3/1/1) and (b)  $\text{H}_2/\text{CO}_2/\text{CO}$  (3.3/1/1) over reduced  $\text{Cu}/\text{SiO}_2$  at 0.72 MPa. All other conditions are the same as those listed in the caption for Fig. 4.

$\text{CO}_2/\text{CO}$  are given in Table 2. The activation energy for methanol synthesis is 16.9 kcal/mol, whereas that for the RWGS reaction is 15.1 kcal/mol. The first of these values is 4.2 kcal/mol lower than that determined for methanol synthesis in  $\text{H}_2/\text{CO}_2/\text{Ar}$  but is in good agreement with the value of 17.5 kcal/mol reported for methanol synthesis from  $\text{H}_2/\text{CO}_2/\text{CO}$  over  $\text{Cu}(100)$ .

The methanol selectivity is plotted in Fig. 11 for the two feeds. The addition of CO to the feed more than doubles the maximum methanol selectivity to about 25%. The observed decline in selectivity between 400 and 450

K for the  $\text{H}_2/\text{CO}_2/\text{CO}$  feed, therefore, is likely an artifact associated with the greater uncertainty in the measured rates at low temperatures.

#### *K*-Promoted $\text{Cu}/\text{SiO}_2$

Experiments identical to those carried out with  $\text{Cu}/\text{SiO}_2$  were performed with *K*-promoted  $\text{Cu}/\text{SiO}_2$ . Infrared spectra recorded during TPR in a  $\text{H}_2/\text{CO}_2/\text{Ar}$  mixture over *K*-promoted  $\text{Cu}/\text{SiO}_2$  are shown in Fig. 12. Assignments of the observed peaks are given in Table 4. The principal features in the spectra are those for formate groups associated with either the  $\text{Cu}$  particles or the promoter (designated as  $\text{K}^+$ ). Consistent with the observations for unpromoted  $\text{Cu}$ , the bands at 2935, 2840, 2718, and 2698  $\text{cm}^{-1}$  are assigned to  $\text{HCOO}$  groups adsorbed on  $\text{Cu}$ . Coordination of formate to the surface is more easily discerned in the O–C–O bending region than in the C–H stretching region above 2600  $\text{cm}^{-1}$ . The bands at 1350, 1368, and 1600  $\text{cm}^{-1}$  suggest that formate species associated with  $\text{K}^+$  are *m*- $\text{HCOO}$ . The only other features observed are those due to  $\text{CO}$  adsorbed on  $\text{Cu}$  ( $\nu_{\text{CO}} = 2078 \text{ cm}^{-1}$ ) and to  $\text{H}_2\text{O}$  adsorbed either on  $\text{Cu}$  or  $\text{SiO}_2$  ( $\delta_{\text{OH}} = 1620 \text{ cm}^{-1}$ ). Assignment of the band at 1368  $\text{cm}^{-1}$  is more difficult since it can be attributed to *m*- $\text{HCOO}$  groups or to  $\text{CO}_2^-$  anions associated with  $\text{K}^+$ . A feature at 2757  $\text{cm}^{-1}$  appears and is possibly an overtone of the band at 1368  $\text{cm}^{-1}$ . Similar experiments conducted with 7 wt%  $\text{K}/\text{SiO}_2$  show well-defined features for  $\text{CO}_{2,s}^-$  ( $\nu_{\text{COO}} = 1603$  and 1317  $\text{cm}^{-1}$ ),  $\text{CO}_{3,s}^-$  ( $\nu_{\text{COO}} = 1680, 1470(\text{sh}),$  and 1444  $\text{cm}^{-1}$ ),

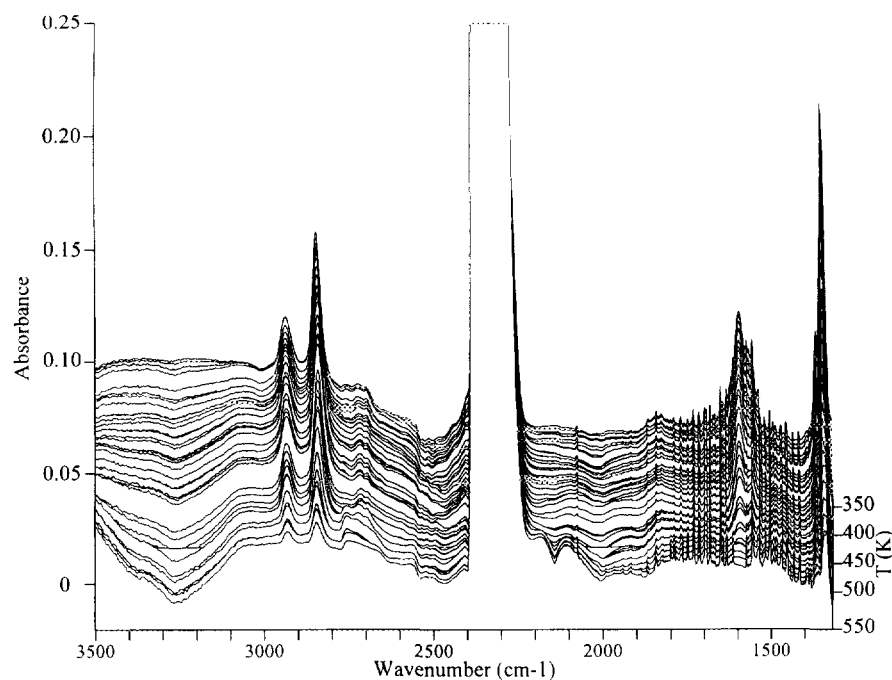


FIG. 12. Infrared spectra obtained during TPR-IR of  $\text{H}_2/\text{CO}_2/\text{Ar}$  (3.3/1/1) over reduced, potassium-promoted  $\text{Cu}/\text{SiO}_2$  at 0.72 MPa. All other conditions are the same as those listed in the caption for Fig. 1.

TABLE 4

 Infrared Peak Assignments of Surface Species Observed during TPR (303–563 K) at 0.72 MPa over Potassium-Promoted Cu/SiO<sub>2</sub>

Species/mode	Surface	Feed gas		Observed frequency (cm <sup>-1</sup> )	Previous studies
		(1 = H <sub>2</sub> /CO <sub>2</sub> /Ar)	(2 = H <sub>2</sub> /CO <sub>2</sub> /CO)		
HCOO/ $\nu_{CH}$	Cu	1, 2		2935	2939 for HCOO on Cu (50)
HCOO/ $\nu_{CH}$	Cu	1, 2		2840	2840 for HCOO on Cu (50)
HCOO/ $\nu_{CH}$	Cu	1, 2		2718	2717(sh) for HCOO on Cu (50)
HCOO/ $\nu_{OCO} \times 2$	Cu	1, 2		2698	2692(sh) for HCOO on Cu (50)
HCOO/ $\nu_{CH}$	K <sup>+</sup>	2		2959	2963 for b-HCOO on ZnO (60)
HCOO/ $\nu_{CH}$	K <sup>+</sup>	2		2858	2859 for b-HCOO on ZnO (61)
m-HCOO/ $\nu_{OCO}$	K <sup>+</sup>	1, 2		1600	1600 for m-HCOO on K <sup>+</sup> (50)
m-HCOO/ $\nu_{OCO}$	K <sup>+</sup>	1, 2		1368	1370 for m-HCOO on K <sup>+</sup> (50)
m-HCOO/ $\nu_{OCO}$	K <sup>+</sup>	1, 2		1350	1349 for m-HCOO on K <sup>+</sup> (50)
CO/ $\nu_{CO}$	Cu	1		2078	2079 for CO on Cu(100) (52)
CO/ $\nu_{CO}$	K <sup>+</sup>	2		1666	1665 for CO complex on K <sup>+</sup> /SiO <sub>2</sub> (50); 1660–1670 for CO on K <sup>+</sup> /Pt(111) (62)
CO <sub>3</sub> <sup>2-</sup> / $\nu_{OCO}$	Cu	2		1506	1510 for CO <sub>3</sub> <sup>2-</sup> on Cu with K <sup>+</sup> (50); 1510 for CO <sub>3</sub> <sup>2-</sup> on Cu/ZnO/Al <sub>2</sub> O <sub>3</sub> (15)
CO <sub>3</sub> <sup>2-</sup> / $\nu_{OCO}$	Cu	1, 2		1368	1366 for CO <sub>3</sub> <sup>2-</sup> on Cu with K <sup>+</sup> (50)
H <sub>2</sub> O/ $\delta_{OH}$	SiO <sub>2</sub> or Cu	1		1620	1626 for H <sub>2</sub> O on SiO <sub>2</sub> (50)

and m-HCOO<sup>-</sup> ( $\nu_{CH} = 2771$  and  $2602$  cm<sup>-1</sup>), all of which are associated with K<sup>+</sup>.

Figure 13 displays spectra taken during a TPR experiment in which a mixture of H<sub>2</sub>/CO<sub>2</sub>/CO is passed over K-promoted Cu/SiO<sub>2</sub>. The peaks observed in these spectra are assigned in Table 4. Inclusion of CO in the feed increased the intensity of all of the bands assigned to m-

HCOO on K<sup>+</sup>; the intensities of the bands at 1350 and 1368 cm<sup>-1</sup> increased by a factor of 3–4 while the intensity of the band at 1600 cm<sup>-1</sup> increased by a factor of 16, relative to what was observed in a feed of H<sub>2</sub> and CO<sub>2</sub> alone (see Fig. 12). The disparity in the increases in the intensities of the bands at 1350 and 1368 cm<sup>-1</sup> suggests that another species besides m-HCOO<sub>s</sub> may contribute to

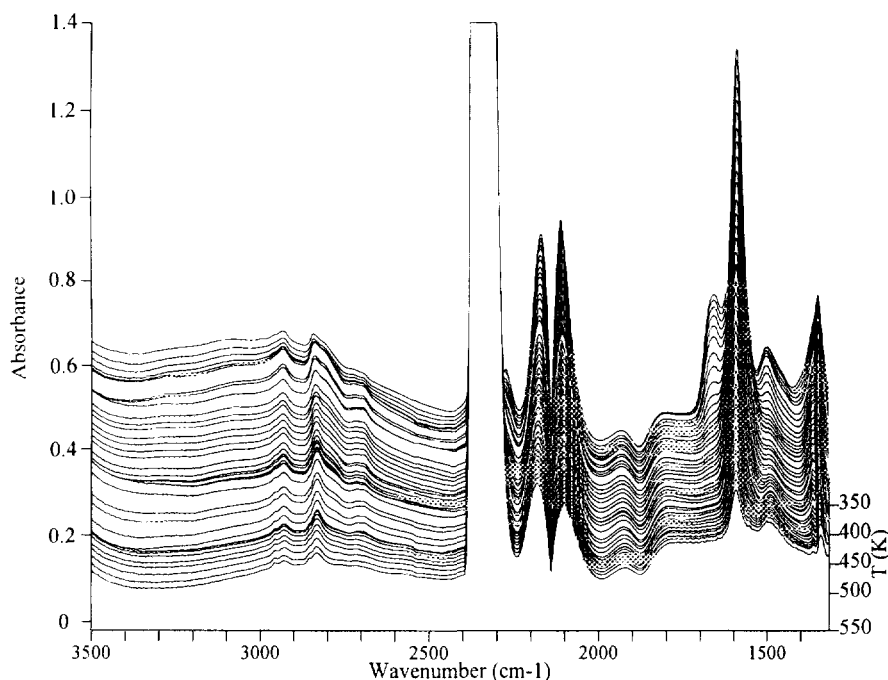


FIG. 13. Infrared spectra obtained during TPR-IR of H<sub>2</sub>/CO<sub>2</sub>/CO (3.3/1/1) over reduced, potassium-promoted Cu/SiO<sub>2</sub> at 0.72 MPa. All other conditions are the same as those listed in the caption for Fig. 1.

the band at  $1600\text{ cm}^{-1}$ . A possible candidate for such a species would be the "CO complex" described by Maynard and Moscovits (63). Addition of CO to the feed resulted in the formation of two new bands at  $2858$  and  $2959\text{ cm}^{-1}$  at room temperature which persisted throughout the TPR experiment. Although the location of the second band is near that for  $\text{CH}_3\text{OH}$  on Cu (see Table 1), a more reasonable assignment of both bands is to  $\text{HCOO}$  on  $\text{K}^+$  for the following reasons. First, both peaks correlate well with the bands at  $1350$ ,  $1368$ , and  $1600\text{ cm}^{-1}$  ascribed to  $m\text{-HCOO}$  on the promoter. Second, methanol formation at room temperature is unlikely and no methanol was detected in the gas phase below  $500\text{ K}$ . Third, the bands are distinct from those due to  $\text{HCOO}$  on Cu (viz.  $2840$ ,  $2935\text{ cm}^{-1}$ ) and may be either perturbed by  $\text{K}^+$  at an interface with Cu or adsorbed on  $\text{K}^+$  completely. Figure 13 also shows new bands at  $1666$  and  $1506\text{ cm}^{-1}$  attributable to CO adsorbed on the promoter and  $\text{CO}_2^-$  anions adsorbed on Cu, respectively. In experiments conducted with  $7\text{ wt}\%$   $\text{K}/\text{SiO}_2$  the only features observed were those for  $\text{CO}_{2,s}^-$ ,  $\text{CO}_{3,s}^-$ , and  $m\text{-HCOO}_s^-$ .

The TOFs for methanol synthesis and the RWGS reaction are reported for K-promoted  $\text{Cu}/\text{SiO}_2$  in Fig. 14. As for the unpromoted catalyst, the TOF for the RWGS reaction is about 50-fold higher than that for methanol synthesis, independent of the gas composition. Comparison of Fig. 14 and Fig. 10 demonstrates that K promotion inhibits the activity of the catalyst for methanol synthesis but enhances the activity for the RWGS reaction, particularly at temperatures below  $550\text{ K}$ . The K-promoted catalyst exhibits an unusual dependence of the TOF for the RWGS reaction on temperature. With increasing temperature the TOF rises, reaching a plateau at about  $400\text{ K}$ , and then rises again above  $470\text{ K}$ . The addition of CO to the feed suppresses the rate of the RWGS reaction and enhances the rate of the methanol synthesis reaction, which is similar to what is observed for unpromoted  $\text{Cu}/\text{SiO}_2$ . Due to the enhancement of the RWGS reaction by K and the corresponding suppression of the methanol synthesis reaction, the selectivity to methanol never exceeds  $\sim 1\%$  for a feed of  $\text{H}_2/\text{CO}_2/\text{Ar}$  and never exceeds  $\sim 4\%$  for a feed of  $\text{H}_2/\text{CO}_2/\text{CO}$ .

Apparent activation energies are determined from Arrhenius plots and reported in Table 2. Potassium promotion of  $\text{Cu}/\text{SiO}_2$  lowers the activation barriers for both methanol synthesis and RWGS reaction. For an  $\text{H}_2/\text{CO}_2/\text{Ar}$  feed, water evolution is observed already at  $370\text{ K}$ , which is far below the temperature at which  $\text{CH}_3\text{OH}$  is produced. This low temperature regime of  $\text{H}_2\text{O}$  production has been reported in the literature (21) and is attributed to the reduction of surface oxygen which results from room temperature dissociation of  $\text{CO}_2$  on Cu. The surface is thought to become depleted of oxygen due to the slow rate of  $\text{CO}_2$  dissociation. The second regime

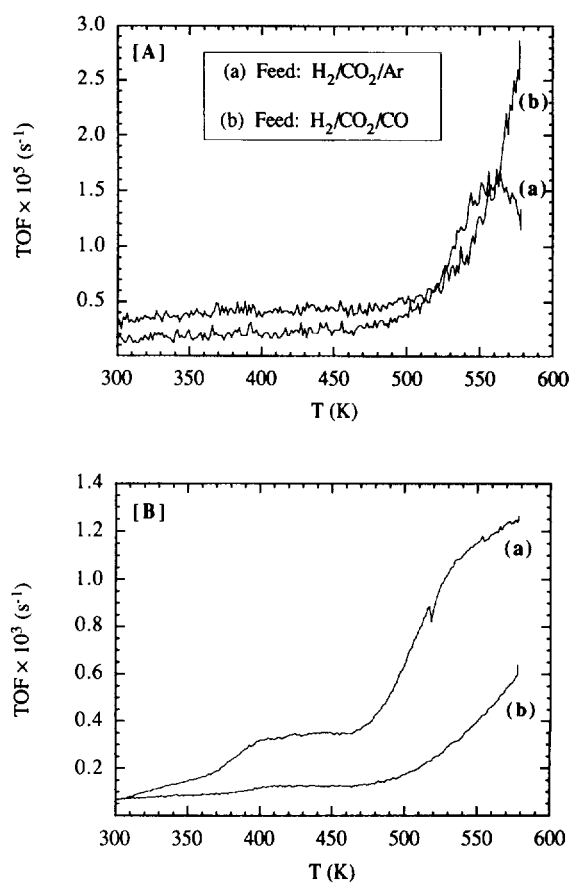


FIG. 14. TOF's for [A] methanol synthesis and [B] the RWGS reaction as a function of temperature during TPR experiments in feeds of (a)  $\text{H}_2/\text{CO}_2/\text{Ar}$  (3.3/1/1) and (b)  $\text{H}_2/\text{CO}_2/\text{CO}$  (3.3/1/1) over reduced, potassium-promoted  $\text{Cu}/\text{SiO}_2$  at  $0.72\text{ MPa}$ . All other conditions are the same as those listed in the caption for Fig. 4.

above  $470\text{ K}$  is ascribed to the RWGS reaction (10) in which  $\text{CO}_{2,s}$  is either attacked directly by  $\text{H}_s$  or dissociates prior to hydrogenation. An activation barrier of  $9.9\text{ kcal/mol}$  is associated with the second regime, as indicated in Table 2.

## DISCUSSION

The results of this investigation show that at  $525\text{ K}$ ,  $0.72\text{ MPa}$ , and a fixed ratio of  $\text{H}_2/\text{CO}_x/\text{Ar}$  ( $x = 1, 2$ ), the activity of  $\text{Cu}/\text{SiO}_2$  for methanol synthesis from CO is much lower than that for methanol synthesis from  $\text{CO}_2$ . These findings agree closely with our earlier work on  $\text{Cu}/\text{SiO}_2$  (7), and with previous studies conducted with unsupported polycrystalline Cu (10, 64), Cu single crystals (5), and  $\text{Cu}/\text{ZnO}/\text{Al}_2\text{O}_3$  (10, 65). While  $\text{CO}_2$  is much more reactive than CO for the formation of methanol over metallic Cu, the selectivity to methanol is low due to the strong preference of  $\text{CO}_2$  to undergo the RWGS reaction. For a  $3/1/1\text{ H}_2/\text{CO}_2/\text{Ar}$  mixture at  $0.1\text{ MPa}$  total pressure,

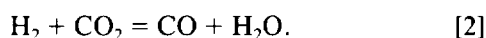
the maximum selectivity to methanol is 1% at 530 K. Increasing the pressure to 0.72 MPa for the same feed composition and reaction temperature raises the methanol selectivity to 12%. Similar methanol selectivities and effects of total pressure have been reported previously. Tagawa *et al.* (13) observed a selectivity of 0.56% for methanol formation from a 5/1 H<sub>2</sub>/CO<sub>2</sub> mixture over Cu/Al<sub>2</sub>O<sub>3</sub> at 533 K and 0.1 MPa, and Brown-Bourzutschky *et al.* (7) observed a methanol selectivity in excess of 90% for a 3/1 H<sub>2</sub>/CO<sub>2</sub> mixture over Cu/SiO<sub>2</sub> at 573 K and 2.16 MPa.

The addition of CO to an H<sub>2</sub>/CO<sub>2</sub> feed increases the maximum achievable selectivity to methanol. As shown in Fig. 11, the maximum methanol selectivity increases from 12% to 25% in going from a 3/1/1 H<sub>2</sub>/CO<sub>2</sub>/Ar feed mixture to a 3/1/1 H<sub>2</sub>/CO<sub>2</sub>/CO mixture. The increase in the maximum methanol selectivity results from a concurrent increase in the TOF for methanol synthesis and a decrease in the TOF for the RWGS reaction.

The effects of feed gas composition and total pressure can be interpreted in terms of the thermodynamics of the reactions



and



From Le Chatelier's principle it is evident that increasing the total pressure favors the formation of methanol but has no effect on the RWGS reaction. The addition of CO to the feed drives any water produced from the synthesis of methanol or the RWGS reaction back toward the formation of CO<sub>2</sub>, thereby enhancing the selectivity to methanol. These trends are supported by calculations of the maximum methanol selectivity achievable at equilibrium (66).

Comparison of the results presented in Fig. 14 show that K promotion of Cu/SiO<sub>2</sub> suppresses the TOF for methanol formation but increases the TOF for the RWGS reaction, particularly at low temperatures, regardless of whether the feed is H<sub>2</sub>/CO<sub>2</sub>/Ar or H<sub>2</sub>/CO<sub>2</sub>/CO. While alkali promotion is well known to enhance the methanol synthesis activity of supported and unsupported Cu (34–38), for methanol synthesis from H<sub>2</sub>/CO, K promotion of Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> has been found to suppress the formation of methanol from an H<sub>2</sub>/CO<sub>2</sub>/CO feed (37).

The TPR and TPR-IR results of this investigation can be interpreted on the basis of the reaction mechanism presented in Fig. 15, which accounts for both the synthesis of methanol and the RWGS reaction. This scheme is assembled from elements presented in the literature derived from studies of methanol synthesis (5, 10, 15, 50, 56, 68, 69) and decomposition (42, 46, 54, 59, 70–78), as well as

studies of the RWGS reaction (12, 18, 23–25, 55). Results obtained with both supported and unsupported Cu are taken into account. Methanol formation is shown to occur via the hydrogenation of adsorbed CO<sub>2</sub> to form HCOO<sub>s</sub> species which then undergo stepwise hydrogenation. Two pathways are shown for the RWGS reaction. The first involves CO<sub>2,s</sub> dissociation and the subsequent reaction of the nascent O<sub>s</sub> with H<sub>s</sub> to form H<sub>2</sub>O. The second proceeds via the direct interaction of CO<sub>2,s</sub> with H<sub>s</sub> to form CO<sub>s</sub> and OH<sub>s</sub>, followed by the reaction of OH<sub>s</sub> to form H<sub>2</sub>O. The first of these two schemes is referred to as the "regenerative" or "redox" mechanism (10, 19, 21–23, 26–28), and the second is referred to as the "associative" mechanism and is closely related to the "formate" mechanism described in the literature (12, 18, 20, 24, 25, 29–33).

A number of authors have proposed that HCOO<sub>s</sub> in the first intermediate produced along the path from CO<sub>2</sub> to methanol and that HCOO<sub>s</sub> hydrogenation is the rate-limiting step in methanol synthesis (10–17). HCOO<sub>s</sub> formation has been observed by infrared spectroscopy upon interaction of adsorbed CO<sub>2</sub> and H<sub>2</sub> at temperatures below which

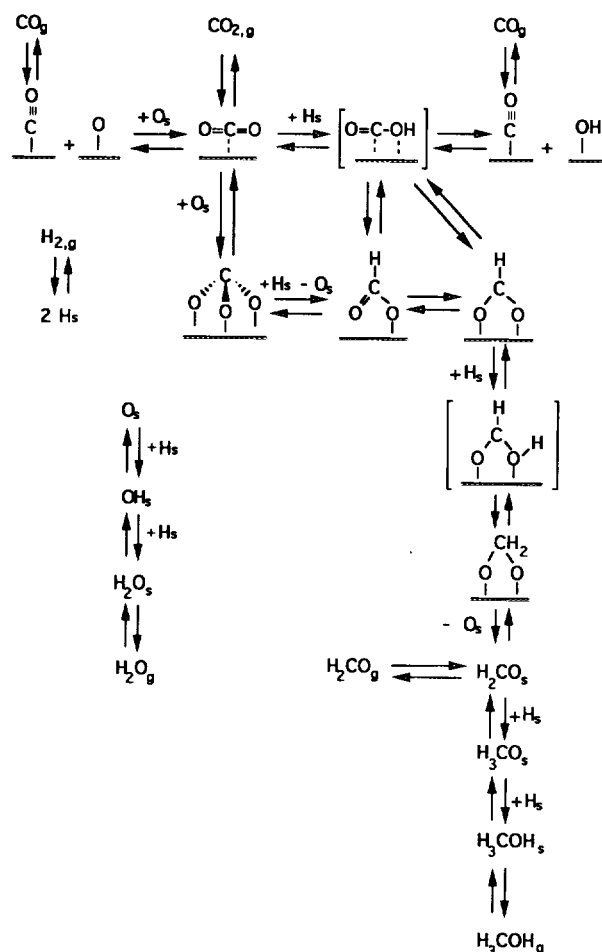


FIG. 15. Proposed mechanism for methanol synthesis and RWGS over Cu/SiO<sub>2</sub>. Formal valences are omitted for brevity.

methanol is formed, as well as at elevated temperatures and pressures, conditions under which the rate of methanol formation is significant (12, 15, 50, 56, 68, 79). More significantly, Amenomiya and Tagawa (12) have reported a close correlation between the rate of methanol formation and the intensity of bands assigned to  $\text{HCOO}_s$  on Cu ( $1640\text{ cm}^{-1}$  (sh) and  $1320\text{ cm}^{-1}$ ) as the partial pressure of  $\text{CO}_2$  is increased at a fixed  $\text{H}_2$  partial pressure. It was also observed that if the flow of either  $\text{H}_2$  or  $\text{CO}_2$  was stopped; methanol was detected in the gas phase as long as  $\text{HCOO}_s$  was present on the catalyst surface. A number of authors have proposed the steps by which  $\text{HCCO}_s$  is converted to methanol (see Fig. 15) based on investigations of methanol decomposition (14, 42, 71) rather than by direct observation. In infrared studies of methanol decomposition over Cu/ $\text{SiO}_2$ , Millar *et al.* (42) observed the presence of  $\text{CH}_3\text{OH}_s$ ,  $\text{CH}_3\text{O}_s$ , and  $\text{HCOO}_s$ , and in a recently reported study Clarke *et al.* (58) observed  $\text{CH}_2\text{O}_s$  and  $\text{CH}_2(\text{O})_{2,s}$ , as well as  $\text{CH}_3\text{OH}_s$ , and  $\text{HCOO}_s$  (both mono- and bidentate). Evidence for  $\text{CH}_3\text{O}_s$  and  $\text{HCOO}_s$  has also been reported in studies of methanol decomposition on Cu single crystals (43, 48). Based on TPD-infrared and complementary TPD experiments, Clarke *et al.* (58) demonstrated that the dynamics of methanol decomposition can be described by the reverse of the pathway shown in Fig. 15 for methanol synthesis from  $\text{H}_2$  and  $\text{CO}_2$ .

Figure 15 allows for both mono- and bidentate formate groups ( $\text{m-HCOO}_s$  and  $\text{b-HCOO}_s$ ) as well as for two possible paths for the formation of these groups. The first is via direct hydrogenation of  $\text{CO}_{2,s}$  to form an intermediate designated as  $\text{O}=\text{C}-\text{OH}_s$ , which then isomerizes to form either  $\text{m-HCOO}_s$  or  $\text{b-HCOO}_s$ . The second pathway involves the dissociation of  $\text{CO}_{2,s}$  to produce  $\text{O}_s$ . Reaction of additional  $\text{CO}_{2,s}$  with  $\text{O}_s$  can form symmetric  $\text{CO}_{3,s}^{2-}$ , which then undergoes hydrogenation to form  $\text{m-HCOO}_s$ . The latter pathway has been proposed by Millar *et al.* (56), who observed the slow disappearance of a band at  $1410\text{--}1416\text{ cm}^{-1}$  for symmetric  $\text{CO}_{3,s}^{2-}$  and the concurrent growth of a band at  $1350\text{--}1351\text{ cm}^{-1}$  for  $\text{b-HCOO}_s$  upon exposure a Cu/ $\text{SiO}_2$  to a mixture of  $\text{H}_2$  and  $\text{CO}_2$  at 388 K. The results of the present investigation suggest that under conditions where methanol is formed, the first of the two pathways to formate species predominates. Symmetric  $\text{CO}_{3,s}^{2-}$  ( $1410\text{--}1413\text{ cm}^{-1}$ ) species were observed to form and reach a steady-state surface concentration within 3 min at 303 K, but the surface concentration of  $\text{HCOO}_s$  remained very small even after 20 min of exposure to a mixture of  $\text{H}_2$  and  $\text{CO}_2$  at 0.1 MPa. Upon raising the temperature to 350 K the surface concentration of symmetric  $\text{CO}_{3,s}^{2-}$  decreased rapidly but the concentration of  $\text{HCOO}_s$  still remained very small. Only when the temperature was raised above 350 K did the concentration of  $\text{HCOO}_s$  increase rapidly. These results indicate that at low temperatures ( $<350\text{ K}$ )  $\text{CO}_s$  reacts with  $\text{O}_s$  produced

by  $\text{CO}_{2,s}$  dissociation to form symmetric  $\text{CO}_{3,s}^{2-}$ . The loss of symmetric  $\text{CO}_{3,s}^{2-}$  at higher temperatures is probably due to the rapid decomposition of this species and/or a decrease in the abundance of  $\text{O}_s$ . Since significant formation of methanol is not observed below 450 K, we suggest that at 450 K and above, formate groups are produced by direct hydrogenation of  $\text{CO}_2$ .

Figures 1 and 3 show that under conditions where significant amounts of methanol are formed, viz. above 470 K, the most abundant reactive intermediate is  $\text{b-HCOO}_s$ . A smaller amount of  $\text{b-CO}_{3,s}^{2-}$  is also observed and yet smaller amounts of  $\text{CH}_3\text{OH}_s$  are detectable above 500 K. The addition of CO to the feed increases the intensity of the formate bands by about 40% but has little effect on the intensities of the bands for  $\text{b-CO}_{3,s}^{2-}$  or  $\text{CH}_3\text{OH}_s$  (compare Figs. 3 and 9). Figures 3 and 9 show that  $\text{m-HCOO}$  is not present on the catalyst surface at temperatures above 470 K, for which the TOF for methanol formation is significant, and Fig. 11 shows the absence of  $\text{m-HCOO}_s$  even at low temperatures when CO is present in the feed. These observations are not unexpected since at elevated temperatures, and particularly in the presence of gas-phase CO, the surface of Cu is more reduced. Our studies of methanol decomposition over the same catalyst used in the present investigations have shown that  $\text{b-HCOO}$  is stabilized in preference to  $\text{m-HCOO}$  on a reduced Cu surface (58). Taken together, these observations suggest that methanol is formed by hydrogenation of  $\text{b-HCOO}_s$  and that this is the rate-limiting step in the synthesis of methanol. The observation of a normal  $\text{H}_2/\text{D}_2$  isotope effect for methanol synthesis from  $\text{CO}_2$  (see Table 3) supports the hypothesis that the rate-limiting step in the formation of methanol involves  $\text{H}_s$ .

As noted earlier, Fig. 15 shows two pathways for the RWGS reaction. While the results of this investigation do not permit definitive discrimination between the two alternatives, viz., the regenerative and associative mechanisms, arguments can be developed in support of the associative mechanism under the conditions of the present study. Perhaps the strongest evidence is the observation of a normal  $\text{H}_2/\text{D}_2$  isotope effect on the rate of the RWGS reaction (see Table 3), which is indicative of the participation of  $\text{H}_s$  in the rate-limiting step. Figure 15 suggests that this step would be decomposition of the intermediate  $\text{O}=\text{CO}-\text{H}$ . Amenomiya and Tagawa (12) also concluded that the RWGS reaction proceeds via a hydrogen-containing intermediate based on the close correlation between the rate of the RWGS reaction with the concentration of  $\text{HCOO}_s$  observed by *in situ* infrared spectroscopy. Further evidence in support of the associative mechanism of RWGS comes from the observation in the present study that the coverage by  $\text{b-HCOO}_s$  increases by 40% when CO is added to the feed mixture of  $\text{H}_2$  and  $\text{CO}_2$ . This increase can readily be attributed to the reaction of  $\text{OH}_s$

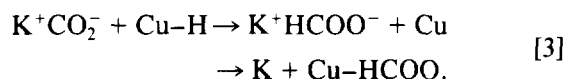
with  $\text{CO}_s$  and, as noted above, infrared studies of the interaction of CO and  $\text{H}_2\text{O}$  show that  $\text{HCOO}_s$  is formed quite readily (25).

Energetic considerations also support the associative mechanism of RWGS. The activation barrier for the process  $\text{CO}_{2,s} \rightarrow \text{CO}_s + \text{O}_s$  has been measured to be 23 kcal/mol for Cu(110) (22) and is estimated to be 28 kcal/mol for Cu(111) (16). By contrast, the activation barrier for the process  $\text{CO}_{2,s} + \text{H}_s \rightarrow \text{HCOO}_s$  is estimated to be more than 4 kcal/mol and less than 18 kcal/mol, and that for the process  $\text{HCOO}_s \rightarrow \text{CO}_s + \text{OH}_s$  is estimated to be 20 kcal/mol on Cu(111) (16). Thus, based solely on energetic considerations, the pathway involving hydrogen-assisted dissociation of  $\text{CO}_{2,s}$  should be preferred.

Promotion of Cu/SiO<sub>2</sub> with K increases the RWGS activity of the catalyst, particularly at low temperatures, but decreases the methanol synthesis activity to a lesser degree. The net effect is that the catalyst selectivity to methanol is decreased. Comparison of the infrared spectra taken with and without the presence of K (compare Figs. 1 and 12 and Figs. 7 and 13) show that K promotion enhances the surface concentrations of formate species adsorbed on Cu and creates a new class of formate anions associated with the  $\text{K}^+$  cations, which is in good agreement with work previously reported by Millar *et al.* (50).

The presence of K has also been found to promote the formation of carboxylate,  $\text{CO}_{2,s}$ , species on Pd (80), Pt (81), and Rh (82, 83). Lin *et al.* (81) report this species on Pt to be bent in either a monodentate or bidentate form and note that carboxylate anions undergo dissociation and hydrogenation more readily than linear  $\text{CO}_{2,s}$ . This would suggest that carboxylate anions are also more reactive on Cu than linear  $\text{CO}_{2,s}$  and might explain the higher RWGS reaction rates and higher surface concentrations of formate species found in the present investigation.

While the exact structure and composition of the K-containing species are not known, recent investigations of K-promoted transition metals supported on SiO<sub>2</sub> suggest that  $\text{K}_2\text{O}$ , KOH, and SiO-K are all present (70). These species would be expected to react with  $\text{CO}_2$  to form  $\text{CO}_2^-$ ,  $\text{CO}_3^-$ , and  $\text{HCOO}^-$  anions. In the present study, infrared spectra of 7 wt% K/SiO<sub>2</sub> revealed the presence of  $\text{CO}_2^-$ ,  $\text{CO}_3^-$ , and  $\text{HCOO}^-$  anions associated with  $\text{K}^+$  but gave only weak evidence for  $\text{HCOO}^-$  anions. The appearance of large concentrations of formate anions, associated with both  $\text{K}^+$  and Cu, on K-promoted Cu/SiO<sub>2</sub> might be explained by the following sequence of reactions proposed by Millar *et al.* (50):



Since the decomposition of formate species on Cu is envisioned as a step in the RWGS process, reaction 3 might

also explain the means by which K promotion of Cu/SiO<sub>2</sub> enhances the rate of the RWGS reaction.

## CONCLUSIONS

Under identical reaction conditions, the rate of methanol synthesis over Cu is an order of magnitude greater from  $\text{H}_2/\text{CO}_2$  than from  $\text{H}_2/\text{CO}$ . The maximum selectivity for methanol synthesis from  $\text{CO}_2$  increases rapidly with increased gas pressure and the addition of CO to the reactants. The opposite trends are observed for the RWGS reaction. *In situ* infrared observations suggest that the rate-limiting step for methanol synthesis over Cu is the hydrogenation of b- $\text{HCOO}_s$ . The RWGS reaction appears to be assisted by  $\text{H}_s$  attack of  $\text{CO}_{2,s}$  in an associative mechanism, under the conditions of the present investigation. The effects of CO addition to the feed are ascribed to enhancement of the WGS reaction, which not only consumes  $\text{H}_2\text{O}$  produced as a byproduct of  $\text{CH}_3\text{OH}$  synthesis from  $\text{CO}_2$  but also raises the maximum conversion of  $\text{CO}_2$  to  $\text{CH}_3\text{OH}$  achievable thermodynamically.

Potassium doping of Cu/SiO<sub>2</sub> increases the rate of the RWGS reaction but decreases the rate of methanol synthesis. Infrared observations indicate that K promotion results in the formation of  $\text{CO}_2^-$ ,  $\text{CO}_3^-$ , and  $\text{HCOO}^-$  anions associated with  $\text{K}^+$ . Hydrogenation of  $\text{CO}_2^-$  anions to form  $\text{HCOO}^-$  anions and the transfer of the latter species to Cu are proposed as an explanation for the means by which K enhances the rate of the RWGS reaction.

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